

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS



CASE NUMBER:
CA160078

VIOLATOR:
Stanford University
Customer# 1190
License# 93-R-0004

ADDRESS (Street, City, State, ZIP Code):
3000 El Camino Real
Five Palo Alto Square, 4th Floor
Palo Alto, CA 94306

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): September 9, 2015

§2.31(c)(7) – Institutional animal care and use committee (IACUC).

IACUC functions. With respect to activities involving animals, the IACUC, as an agent of the research facility, shall review and approve, require modifications in (to secure approval), or withhold approval of proposed significant changes regarding the care and use of animals in ongoing activities.

§2.31(d)(1)(iv)(A) – Institutional animal care and use committee (IACUC).

IACUC review of activities involving animals. In order to approve proposed activities or proposed significant changes in ongoing activities, the IACUC shall conduct a review of those components of the activities related to the care and use of animals and determine that the proposed activities are in accordance with this subchapter unless acceptable justification for a departure is presented in writing; *Provided, however,* That field studies as defined in part 1 of this subchapter are exempt from this requirement. Further, the IACUC shall determine that the proposed activities or significant changes in ongoing activities meet the following requirements be performed with appropriate sedatives, analgesics or anesthetics, unless withholding such agents is justified for scientific reasons, in writing, by the principal investigator and will continue for only the necessary period of time.

§2.31(d)(1)(i) – Institutional animal care and use committee (IACUC).

IACUC review of activities involving animals. In order to approve proposed activities or proposed significant changes in ongoing activities, the IACUC shall conduct a review of those components of the activities related to the care and use of animals and determine that the proposed activities are in accordance with this subchapter unless acceptable justification for a departure is presented in writing; *Provided, however,* That field studies as defined in part 1 of this subchapter are exempt from this requirement. Further, the IACUC shall determine that the proposed procedures involving animals will avoid or minimize discomfort, distress, and pain to the animals.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:

<https://acisearch.aphis.usda.gov/LPASearch/faces/Warning.jspx>.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):

Gerald Rushin

OFFICE ADDRESS:

4700 River Road
Riverdale, MD 30737

APHIS OFFICIAL (TITLE):

Assistant Deputy Administrator
Animal Care

DATE ISSUED:

2/17/16

TELEPHONE NO:

(970) 494-7478

CERTIFIED MAIL RECEIPT NO: 7015 0640 0000 4063 7226